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202-861-3900

Telephone

43,806

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Signature

Name

Raymond Millien

Attorney Docket No.	4X-0001-U	S
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John LICCIONE First Inventor or Application Identifier

SYSTEM AND METHOD FOR APPLICATION MONITORING AND AUTOMATIC DISASTER RECOVERY FOR HIGH-AVAILABILITY

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ASSISTANT Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450								
Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	ACCOMPANYING DOCUMENTS								
Applicant claims small entity status.	6. ☐ Assignment Papers (cover sheet & document(s))								
2. ■ Specification Total Pages 44	7. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee)								
	8. ☐ English Translation Document (if applicable)								
3. Drawing(s) (35 U.S.C. 113) Total Sheets 16	9. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations								
	10. ☐ Preliminary Amendment								
4. Oath or Declaration Total Pages	11. White Advance Serial No. Postcard								
 a.	12. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)								
(10) continuation of visional with box 10 completed)	13. Request for Priority								
5. Incorporation By Reference (usable if box 4B is checked)	14. List of Inventors' Names and Addresses								
5. Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	15.								
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:									
17. Amend the specification by inserting before the first line the	sentence:								
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of application Serial No. Filed on									
■ This application claims priority of provisional application Serial No. 60/408,873, Filed September 9, 2002.									
18. CORRESPON	DENCE ADDRESS								
Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085									
Name Steven B Kelber / //	Registration No. 30,073								

Date

Registration No.

Docket No. EAX-0001-US

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9	4		\ 								Serial	No.		Ne	New Application				
9	. S	FEE									Filing	Date	·	He	Herewith				
									nventor(s)			John LICCIONE, et al.							
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Applicant claims small entity status.							L	FEE CALCULATION (continued)											
Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.							3.	3. ADDITIONAL FEES											
□ Charge the indicated fees to Deposit Account No. 50-1442.								Large Entity			Entity	Fee Description							
2.	Chec	k enclose	ed.								Fe	e ode	Fee (\$)	Fee Code	Fee (\$)		Fee Paid		
			FE	EE CAL	.cu	LATION	i				10	151	130	2051	65	Surcharge-late filing fee or oath	65.00		
1. BASIC FILING FEE							11)52	50	2052	25	Surcharge-late provisional filing fee or cover sheet							
Large Er	ntity	Small	Small Entity			Fee Description				10	53	130	1053	130	Non-English specification				
Fee Code	Fee (\$)	Fee Code		Fee (\$)					Fee Paid		18	12	2520	1812	2520	Ex parte reexam. fee			
1001	750	2001	;	375	Utility filing fee			T	375.00		251	110	2251	55	1-mo. ext. of time				
1002	330	2002		165	Design filing fee				1		1:	252	410	2252	205	2-mo. ext. of time			
1003	520	2003		260	Plant filing fee				Ι		1:	253	930	2253	465	3-mo. ext, of time			
1004	750	2004		375	Reissue filing fee						1:	254	1450	2254	725	4-mo. ext. of time			
1005	160	2005		80	Pro	ovisional fi	ling 1	fee			1:	255	1970	2255	985	5-mo. ext. of time			
						SUBT	ОТ	AL (1)	}	\$375.00) 1	101	320	2401	160	Notice of Appeal			
2. EX	TRA (CLAIM FI	EES								14	02	320	2402	160	Appeal Brief			
tot. clai	ms	25	-	20*	=	5	x	\$9	=	45	5 1	103	280	2403	140	Request for Oral Hearing			
ind. clai	ims	3		3*	×	0	x	\$42	=	0) 1	501	1300	2501	650	Utility/Reissue Issue Fee			
	Multip	le Depen	den	t Claim	s			\$140	Ξ	<u></u>	1:	502	470	2502	235	Design Issue Fee			
Large Er	ntity	Small	Entit	У	Fee	Descripti	on				1:	503	630	2503	315	Plant Issue Fee			
Fee Code	Fee (\$)	Fee Code		Fee (\$)					 	1.	160	130	1460	130	Petitions to the Commissioner				
1202	18	2202	_	9	Claims in excess of 20						11	306	180	1806	180	IDS Submission			
1201	84	2201	_	42	Independent claims in excess of 3						8	021	40	8021	40	Assignment	ļ		
1203	280	2203	_	140	Multiple dependent claim, if not paid						1	301	750	2801	375	For Filing RCE			
1204	84	2204	_	42	*Reissue independent claims over original patent						1:	902	900	1802	900	Expedited Design	<u> </u>		
1205	18	2205		9	*Reissue claims in excess of 20 and over original patent						ОТ	OTHER (indicate below):							
	SUBTOTAL (2) \$45.00																		
or numb	er previo	ously paid, if	gree	ter; For	Reissi	Jes, see a	bove									SUBTOTAL (3)	\$65.00		
Name Steven B Kelber						I		Re	gistratio	n No.	30,073								
Signature #### //							D	ate	9/0	1/2a	3	Telephone :	202-861-3900						
Name Raymond Millien							T		Re	gistratio	n No.	43,806							

DOCKET NO. EAX-0001-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: John LICCIONE, et al. ART UNIT:

SERIAL NO.: New Application EXAMINER:

FILING DATE: Herewith

FOR: SYSTEM AND METHOD FOR APPLICATION MONITORING AND

AUTOMATIC DISASTER RECOVERY FOR HIGH-AVAILABILITY

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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 Millerville, MD 21108
- 2) Kelly M. JONES 1302 Farley Ct. S. Arnold, MD 21012

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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